

PTO/SB/08A (10-01)

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/708.618 **INFORMATION DISCLOSURE** March 16, 2004 Filing Date STATEMENT BY APPLICANT First Named Inventor Susumu Noda 2874 Art Unit (use as many sheets as necessary) **Examiner Name** (To be assigned) Attorney Docket Number 39,040 of 2 Sheet

U.S. PATENT DOCUMENTS							
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
JHB	<u>A1</u>	US-2002/0009277-A1	01-24-2002	Noda et al.			
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JMB	F1	JP-2001-272555-A	10-05-2001	Kansai TLO KK and TDK		7
JMB	F2	W <b>6</b> 03/081304-A1	10-02-2003	Japan Sci & Tech Corp.		~
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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Complete if Known Substitute for form 14498/PTO 10/708,618 **Application Number** INFORMATION DISCLOSURE March 16, 2004 Filing Date Susumu Noda STATEMENT BY APPLICANT First Named Inventor 2874 Group Art Unit (To be assigned) (use as many sheets as necessary) Examiner Name of **Attorney Docket Number** 39.040

	<u></u>	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), oublisher, city and/or country where published	T;
JIMB	01	A. Chutinan and S. Noda, "Waveguides and waveguide bends in two-dimensional photonic crystal slabs," Physical Review B, Vol. 62, No. 7, 2000, pp. 4488-4492.	
ant	02	K. Srinivasan and O. Painter, "Momentum space design of high-Q photonic crystal optical cavities," OPTICS EXPRESS, Vol. 10, No. 15, 29 July 2002, pp. 670-684.	
JMB	O3	S. Noda, et al., "Trapping and emission of photons by a single defect in a photonic bandgap structure," Nature, Vol. 407, 5 October 2000, pp. 608-610.	
JMB	04	O. Painter, et al., "Two-Dimensional Photonic Band-Gap Defect Mode Laser," SCIENCE, Vol. 284, 11 June 1999, , pp. 1819-1821.	<b>-</b>
JMB	O5	J. Vuckovic, et al., "Design of phtonic crystal microcavities for cavity QED," PHYSICAL REVIEW E, Vol. 65, pp. 016608-1 to 016608-11.	
JMB	06	O. Painter, et al., "Defect modes of a two-dimensional photonic crystal in an optically thin dielectric slab," J. Opt. Soc. Am. B, Vol. 16, No. 2, February 1999, pp. 275-285.	
JMB	07	Y. Akahane, et al., "Design of a channel drop filter by using a donor-type cavity with high-quality factor in a two-dimensional photonic crystal slab," Applied Physics Letters, Vol. 82, No. 9, pp. 1341-1343.	
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FOREIGN PATENT DOCUMENTS									
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	(use as many s	heets as ne	cessary)	Examiner Name	Ellen E. Kim	
Sheet	2	of	2	Attorney Docket Number	39.040	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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JMB	01	Joon Huh, et al., "Nondegenerate monopole mode of single defect two-dimensional triangular photonic band-gap cavity," Journal Of Applied Physics, American Institute of Physics, Vol. 92, No. 2, July 15, 2002, pp. 654-659.	

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Examiner A Martin Be	Date 3/28/05	
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